



20200813000348150  
08/13/2020 12:49:30 PM  
UCC3 1/4

UCC FINANCING STATEMENT AMENDMENT

FOLLOW INSTRUCTIONS

A. NAME & PHONE OF CONTACT AT FILER (optional) CSC 1-800-858-5294	
B. E-MAIL CONTACT AT FILER (optional) SPRFiling@cscglobal.com	
C. SEND ACKNOWLEDGMENT TO: (Name and Address) <div>1961 66290 CSC 801 Adlai Stevenson Drive Springfield, IL 62703</div> <div>Filed In: Alabama (Shelby)</div>	

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1a. INITIAL FINANCING STATEMENT FILE NUMBER 20200211000056080 2/11/2020	1b. <input type="checkbox"/> This FINANCING STATEMENT AMENDMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS Filer: <u>attach</u> Amendment Addendum (Form UCC3Ad) <u>and</u> provide Debtor's name in item 13
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2. ☐ **TERMINATION:** Effectiveness of the Financing Statement identified above is terminated with respect to the security interest(s) of Secured Party authorizing this Termination Statement

3. ☐ **ASSIGNMENT** (full or partial): Provide name of Assignee in item 7a or 7b, and address of Assignee in item 7c and name of Assignor in item 9  
For partial assignment, complete items 7 and 9 and also indicate affected collateral in item 8

4. ☐ **CONTINUATION:** Effectiveness of the Financing Statement identified above with respect to the security interest(s) of Secured Party authorizing this Continuation Statement is continued for the additional period provided by applicable law

5. ☐ **PARTY INFORMATION CHANGE:**  
Check one of these two boxes: ☐ Debtor or ☐ Secured Party of record **AND** Check one of these three boxes to:  
☐ CHANGE name and/or address: Complete item 6a or 6b; and item 7a or 7b and item 7c ☐ ADD name: Complete item 7a or 7b, and item 7c ☐ DELETE name: Give record name to be deleted in item 6a or 6b

6. **CURRENT RECORD INFORMATION:** Complete for Party Information Change - provide only one name (6a or 6b)

OR	6a. ORGANIZATION'S NAMEWEALTH CAP HOLDINGS, LLC			
	6b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX

7. **CHANGED OR ADDED INFORMATION:** Complete for Assignment or Party Information Change - provide only one name (7a or 7b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name)

OR	7a. ORGANIZATION'S NAME			
	7b. INDIVIDUAL'S SURNAME			
	INDIVIDUAL'S FIRST PERSONAL NAME			
	INDIVIDUAL'S ADDITIONAL NAME(S)/INITIAL(S)			SUFFIX

7c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY
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8. ☒ **COLLATERAL CHANGE:** Also check one of these four boxes: ☒ ADD collateral ☐ DELETE collateral ☐ RESTATE covered collateral ☐ ASSIGN collateral  
Indicate collateral:

9. **NAME OF SECURED PARTY OF RECORD AUTHORIZING THIS AMENDMENT:** Provide only one name (9a or 9b) (name of Assignor, if this is an Assignment)  
If this is an Amendment authorized by a DEBTOR, check here ☐ and provide name of authorizing Debtor

OR	9a. ORGANIZATION'S NAMEServisFirst Bank			
	9b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX

10. **OPTIONAL FILER REFERENCE DATA:**BillingRef2:407371961 66290

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# UCC FINANCING STATEMENT

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF CONTACT AT FILER [optional]	
Amy Burge	205-856-9100
B. SEND ACKNOWLEDGMENT TO: (Name and Address)	
National Title & Appraisal, Inc.	
2880 Crestwood Blvd	
Birmingham, AL 35210	
USA	

Alabama  
Sec. Of State  
B 20-7292620 FS  
Date 06/04/2020  
Time 12:08 AM  
200604 2 Pg  
File \$15.00  
Access \$9.75  
Conv \$5.50  
Total \$30.25  
60014830

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (1a or 1b) - do not abbreviate or combine names

1a. ORGANIZATION'S NAME					
Wealth Cap Holdings, LLC					
OR	1b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME	SUFFIX
1c. MAILING ADDRESS			CITY	STATE	POSTAL CODE
1105 N Market Street   11th Floor			Wilmington	DE	19801
ADD'L INFO RE ORGANIZATION DEBTOR			1e. TYPE OF ORGANIZATION	1f. JURISDICTION OF ORGANIZATION	1g. ORGANIZATIONAL ID #, if any
			AL		<input type="checkbox"/> NONE

2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (2a or 2b) - do not abbreviate or combine names

2a. ORGANIZATION'S NAME					
OR	2b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME	SUFFIX
2c. MAILING ADDRESS			CITY	STATE	POSTAL CODE
ADD'L INFO RE ORGANIZATION DEBTOR			2e. TYPE OF ORGANIZATION	2f. JURISDICTION OF ORGANIZATION	2g. ORGANIZATIONAL ID #, if any
					<input type="checkbox"/> NONE

3. SECURED PARTY'S NAME (or NAME of TOTAL ASSIGNEE of ASSIGNOR S/P) - insert only one secured party name (3a or 3b)

3a. ORGANIZATION'S NAME					
ServisFirst Bank					
OR	3b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME	SUFFIX
3c. MAILING ADDRESS			CITY	STATE	POSTAL CODE
1801 West End Avenue   Suite 850			Nashville	TN	37203

4. This FINANCING STATEMENT covers the following collateral:

☒ ATTACHMENT

5. ALTERNATIVE DESIGNATION [if applicable]	LESSEE/LESSOR	CONSIGNEE/CONSIGNOR	BAILEE/BAILOR	SELLER/BUYER	AG. LIEN	NON-UCC FILING
6. This FINANCING STATEMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS. Attach Addendum [if applicable]	7. Check to REQUEST SEARCH REPORT(S) on Debtor(s) [ADDITIONAL FEE] [optional]		All Debtors	Debtor 1	Debtor 2	
8. OPTIONAL FILER REFERENCE DATA						

**EXHIBIT "A"**

**PROPERTY DESCRIPTION**

Lots 11, 12, 13, 14, 15, and 16, in Block 1, according to the Map of the Eden Highlands Addition and of record in Map A 33A in the Office of the Judge of Probate of St. Clair County, Alabama and being a part of the Northwest Quarter of the Northwest Quarter of Section 2, Township 17 South, Range 3 East, St. Clair County, Alabama, in the City of Pell City, Alabama.

*Allen S. Byrd*

# UCC - Uniform Commercial Code Online System

**20200813000348150 08/13/2020 12:49:30 PM UCC3 4/4****UCC Filing Receipt**

Receipt Confirmation Number: 20200604000000046

Payment Summary	
UCC Filing Fee	\$15.00
Electronic Access Fee	\$9.75
Total Fee through Alabama.gov (more info)	\$30.25

**Contact Information**

Business Name: National Title & Appraisal, Inc.  
Contact Address: 2880 Crestwood Blvd  
Birmingham, AL 35210  
Country: USA  
Contact Name: Amy Burge  
Contact Phone Number: 205-856-9100  
Contact Email Address: aburge@titleappraisal.com

**Debtor Information**

Primary Debtor  
Debtor Type: Organization  
Organization Name: Wealth Cap Holdings, LLC  
Address: 1105 N Market Street  
11th Floor  
Wilmington, DE 19801  
Country: USA

**Secured Party Information**

Primary Secured Party  
Debtor Type: Organization  
Organization Name: ServisFirst Bank  
Address: 1801 West End Avenue  
Suite 850  
Nashville, TN 37203  
Country: USA

**Collateral Information**

This Financing Statement covers the following collateral:

Collateral Attachment	Pages
CCF_000001.pdf	1

**Additional Information**

Optional Filer Reference Data: N/A

For more information about UCC Filings please visit the [Secretary of State's website](http://www.sos.alabama.gov).  
For policy-related questions please contact: (334)242-5324 or [business.services@sos.alabama.gov](mailto:business.services@sos.alabama.gov)  
For technical assistance please contact: 866-353-3468 or [support@alabamainteractive.org](mailto:support@alabamainteractive.org)  
For login assistance please email [subscriptions@alabamainteractive.org](mailto:subscriptions@alabamainteractive.org)

This online service is provided by Alabama Interactive LLC, a third party, working under a contract awarded and administered by Alabama's Department of Finance as authorized under contract number MA 999-0700000001.  
The online price of items or services purchased through Alabama.gov, the state's official web portal, includes funds to develop, maintain, enhance and expand offerings of the state's portal.