

THE MATTER OF THE ESTATE OF)

PROBATE COURT OF

PATRICIA MERLE SHIELDS)

JEFFERSON COUNTY, ALABAMA

AKA PATRICIA M. SHIELDS)

Deceased.)

Case No. 2019 BHM 19BHM02000

PETITION FOR PROBATE OF WILL

TO THE HONORABLE ALAN KING, JUDGE OF PROBATE OF JEFFERSON COUNTY, ALABAMA:

Comes your Petitioners, **ROBERT L. SHIELDS III** and **JOHN MICHAEL SHIELDS SR.**, and upon information and belief, respectfully shows unto your Honor the following facts:

1. **PATRICIA MERLE SHIELDS** died in Jefferson County, Alabama on or about August 27, 2019 and, at the time of her death, was an inhabitant of Jefferson County, Alabama.

2. Surrendered herewith is said decedent's Last Will and Testament dated March 9, 2017 naming Petitioners as Personal Representatives; said Will was duly signed by said decedent when over eighteen (18) years of age, and was attested by the following witnesses, namely:

Name

Address

Christina L. Stansell

420 20th St. Nth., Suite 1400
Birmingham, A 35203

Anne W. Mitchell

803 Conroy Rd.
Birmingham, AL 35222

3. The decedent's Last Will and Testament, as identified in paragraph 2 hereof, was self-proved in a manner substantially in accordance with the requirements of **Ala. Code §43-8-132**.

4. The decedent was unmarried at the time of her death. The following is a true, correct, and complete list of the names, ages, conditions, relationships, and addresses of said decedent's next-of-kin, namely:

Name, age, condition, relationship

Address

P ROBERT L. SHIELDS III, son
competent, over the age of 19

3468 Birchwood Lane,
Birmingham, AL 35243

P JOHN MICHAEL SHIELDS SR., son
competent, over the age of 19

31 Dartmouth Drive,
Rancho Mirage, CA 92270



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WHEREFORE, Petitioners pray that your Honor will take jurisdiction of this Petition; will cause all such notices or citations to issue to the next-of-kin and attesting witnesses as may be proper in the premises; and will cause such proceedings to be had and done, and such proof to be taken, and render such orders and decrees as will duly and legally effect the probate and record in this Court of said Will as the last Will and Testament of said deceased. This Petition is deemed to be verified pursuant to Ala. Code §43-8-22.

PETITIONERS:

Attorney for Petitioners:)

Andrew J. Potts, Esq.)

Law Offices of Andrew J. Potts)

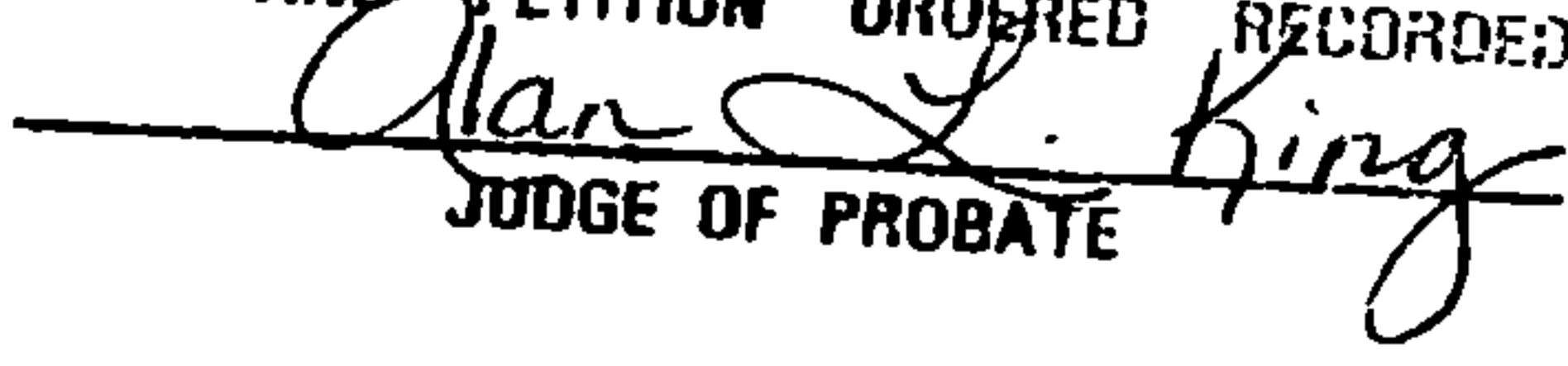
P.O Box 43583)

Birmingham, Alabama 35243)

Telephone: (205) 821-5733)


ROBERT L. SHIELDS III
3468 Birchwood Lane
Birmingham, AL 35243


JOHN MICHAEL SHIELDS SR.
31 Dartmouth Drive,
Rancho Mirage, CA 92270

FILED IN OFFICE THIS 9th DAY OF
September, 2019, PRAYER
GRANTED AND PETITION ORDERED RECORDED

JUDGE OF PROBATE



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FILED IN OFFICE THIS THE
9th DAY OF September 20 19
FOR PROBATE AND RECORD.
Alan L. King
JUDGE OF PROBATE

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LAST WILL AND TESTAMENT

OF

PATRICIA M. SHIELDS

I, PATRICIA M. SHIELDS, a resident of Jefferson County, Alabama, being of sound mind and disposing memory, do hereby make, publish and declare this instrument as and for my Last Will and Testament and hereby expressly revoke any and all Wills, Codicils and other testamentary dispositions heretofore made by me.

ITEM I

DEBTS AND TAXES

I direct that all my debts and funeral expenses be paid as soon after my death as may be practicable. I further direct that all estate and inheritance taxes which may be asserted or levied with respect to my estate, or any part thereof, whether or not passing under my Will, shall be paid out of my residuary estate as an expense of administration and without apportionment.

ITEM II

CASH DEVISES

(a) During my lifetime, my son, ROBERT L. SHIELDS III, has from time to time expended his own funds for the maintenance of my farm property at Four Mile, and I have not always reimbursed him for these expenses. He and I had previously agreed to make periodic calculations of the cumulative amount expended by him and not reimbursed so that he could be paid that amount at my death. However, we have not made any such calculations. To avoid the need to make such calculations, and to thank my son for his efforts on my behalf, I have chosen to provide an extra cash gift for him. Therefore, I devise to him the sum of Two Thousand Five

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Hundred Dollars (\$2,500). If he does not survive me, I devise this sum to his descendants who survive me, per stirpes.

(b) I devise the sum of Twenty Five Thousand Dollars (\$25,000) to my grandson, JOHN MICHAEL SHIELDS, JR.. If he does not survive me, this devise shall lapse and become part of my residuary estate.

ITEM III

PERSONAL ITEMS

(a) I devise all my jewelry to my granddaughters who survive me in substantially equal shares. I intend to leave a list or other information for my Personal Representatives to assist them in dividing such jewelry. With respect to any items not included, I request that they give due regard the personal preferences of my granddaughters.

I devise my automobile to my grandson John Michael Shields, Jr. PMS AN C/S

(b) All my wearing apparel, ~~automobile or automobiles~~, books, furniture and furnishings, and all other articles of personal and household use not devised above shall be divided into as many substantially equal shares as I shall have children who survive me and children who predecease me leaving descendants surviving me. I devise any share allocated to a surviving child to him outright. I devise any share allocated to descendants of a deceased child to such descendants, per stirpes, subject to the provision made below for a beneficiary under twenty-one (21) years of age,. Any property passing under this Paragraph shall pass to its devisee together with any insurance thereon. I hereby vest in my Personal Representatives full power and authority to determine what objects of property are included in the foregoing descriptions contained in this Paragraph. The Personal Representatives shall have absolute discretion to divide such property among such beneficiaries, having due regard for their personal preferences, and such division shall be conclusive and binding. Upon making any payment or

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transfer hereunder, my Personal Representatives shall be discharged with respect thereto, without liability for the subsequent application thereof.

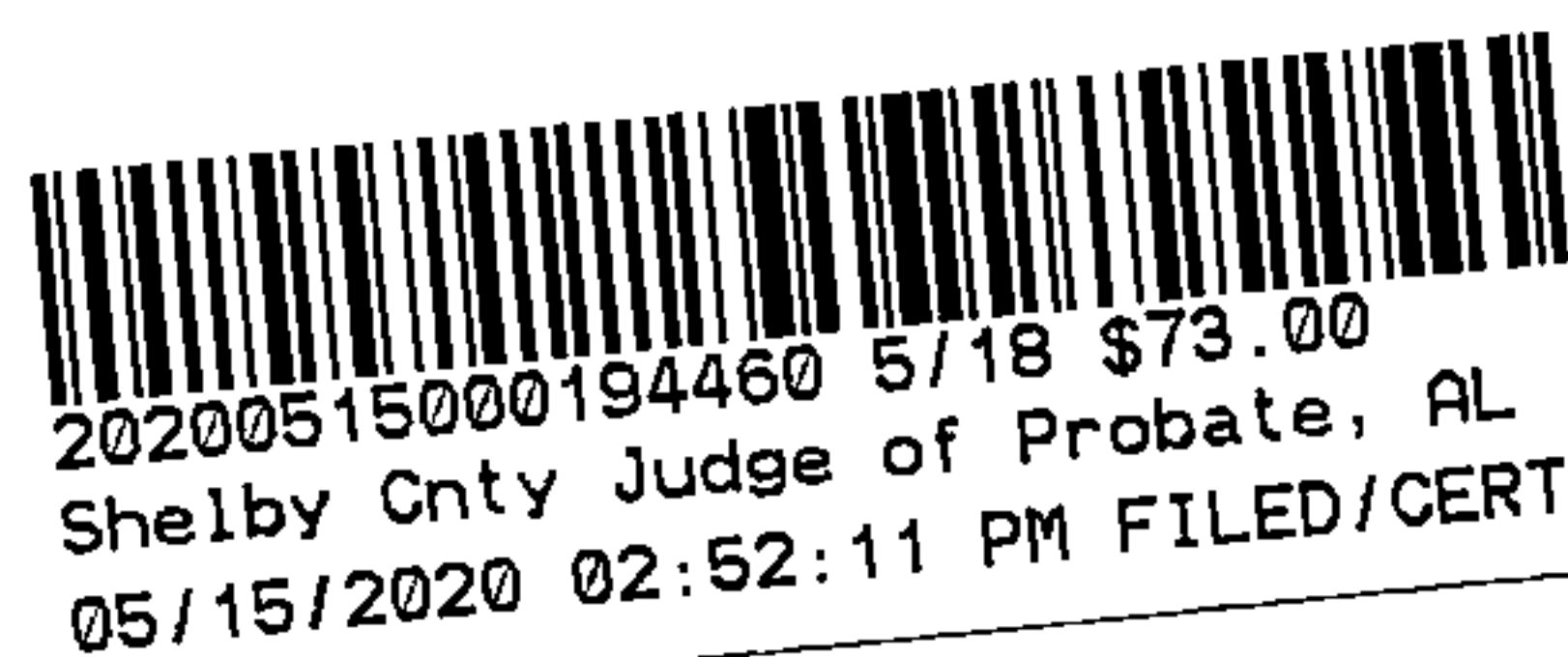
(c) If any beneficiary entitled to a share of the property disposed of by this ITEM shall be under the age of twenty-one (21) years at the time of my death, the Personal Representatives shall have absolute discretion, without requiring bond in any case, either to:

- (1) Retain for such beneficiary all or any part of such beneficiary's share of said property until such beneficiary attains the age of twenty-one (21) years;
- (2) Deliver all or any part of any such beneficiary's share of such property directly to such beneficiary, or to any person deemed suitable by my Personal Representatives, for the benefit of such beneficiary; or
- (3) Sell all or any part of such beneficiary's share of such property, publicly or privately, and transfer and pay over the net proceeds thereof to a relative of such beneficiary selected by the Personal Representatives as custodian under the Uniform Transfers (or Gifts) to Minors Act in effect in the state of such beneficiary's residence, for the benefit of such beneficiary.

ITEM IV

RESIDUARY ESTATE

All of the remaining property of my estate shall be divided into as many equal shares as I shall have children who survive me and children who predecease me leaving descendants surviving me. I devise any share allocated to a surviving child to him absolutely. I devise any share allocated to the descendants of a deceased child to such descendants, per stirpes, absolutely; provided that if any such beneficiary is under the age of twenty-one (21) years, then his or her share of such property shall be transferred and paid over to a relative of such beneficiary selected by the Personal Representatives as custodian for such beneficiary under the Uniform Transfers (or Gifts) to Minors Act in the state of his or her residence.



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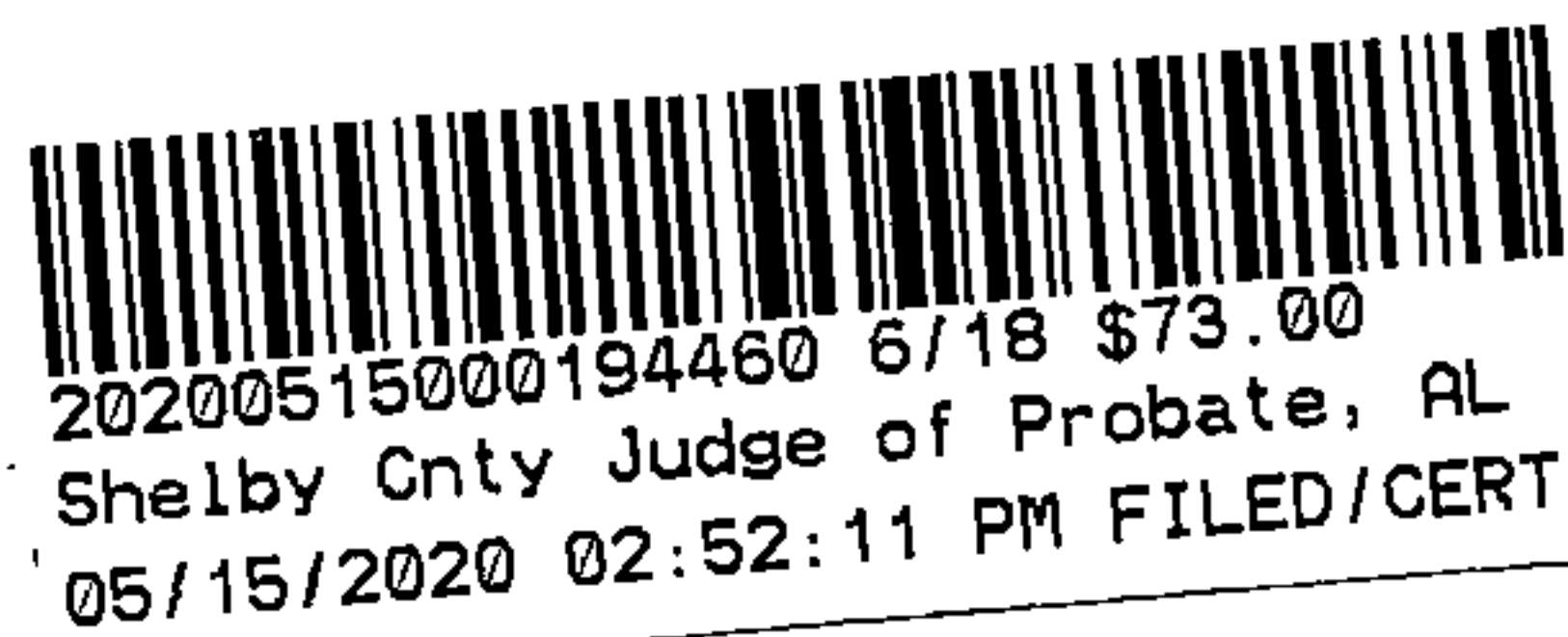
ITEM VAPPOINTMENT OF PERSONAL REPRESENTATIVES

(a) ^{SR. P.M. CLS} I hereby nominate and appoint ROBERT L. SHIELDS, III and JOHN MICHAEL SHIELDS to serve as Personal Representatives under this, my Last Will and Testament. If either of them shall fail to qualify, die, resign, become incapacitated or otherwise fail or cease to serve as Personal Representative, then the other of them shall serve as sole Personal Representative hereunder.

(b) No Personal Representative serving hereunder shall be required to give bond or to file an inventory or accounting in any court, or to render any report in court upon final settlement of acts performed as Personal Representative. However, any Personal Representative serving hereunder shall make out and keep an inventory and maintain records of all transactions relating hereto and shall exhibit the same to any party in interest at any reasonable time.

(c) To the extent permitted by law, each of my Personal Representatives is hereby authorized to delegate to any other Personal Representative, at any time or from time to time, any or all of the rights, powers, duties and authority granted to Personal Representatives herein, whether discretionary or ministerial, by an instrument in writing signed by the delegating party; provided, however, that any such delegating instrument shall be revocable at any time.

(d) All checks or withdrawals from any bank account maintained by my estate may be signed or made by any one or more of the Personal Representatives as they may mutually agree.



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ITEM VIRESIGNATION OF PERSONAL REPRESENTATIVE

(a) Any Personal Representative may resign upon ninety (90) days written notice to the co-Personal Representative, or if there is no co-Personal Representative then serving, to any successor to such Personal Representative designated herein. No resignation by a sole Personal Representative shall be effective until a successor Personal Representative shall have accepted appointment and qualified to serve as Personal Representative.

(b) If no successor Personal Representative designated herein is available to serve, then the Personal Representative wishing to resign may petition a court of competent jurisdiction for the appointment of a successor Personal Representative and the judicial settlement of his or her account.

ITEM VIIPOWERS OF THE PERSONAL REPRESENTATIVES

(a) Without limitation of the powers conferred by statute or general rules of law, my Personal Representatives are authorized and empowered with respect to any property held by them:

- (1) To retain any property owned by me at the time of my death;
- (2) To invest any funds in any corporate shares, bonds, or other securities or property, real or personal (including any common or commingled fund or funds), notwithstanding that such investments may not be of the character allowed to trustees by statute or general rules of law and without any duty to diversify investments, it being my intention to confer the broadest investment powers and discretion upon my Personal Representatives;
- (3) To sell (at public or private sale, without application to any court) or otherwise dispose of any property, real or personal, for cash or upon credit, in such manner and on such terms and conditions as the Personal Representatives



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may deem best, and no person dealing with the Personal Representatives shall be bound to see to the application of any monies paid;

(4) To manage, operate, repair, improve, mortgage, and lease for any term any real estate;

(5) Except to the extent prohibited by law, to cause any securities to be registered in the names of nominees of the Personal Representatives, or to hold any securities in such condition that same will pass by delivery;

(6) To employ such attorneys, accountants, custodians, investment counsel, (including without limitation, any attorney or accountant who may be serving as an Personal Representative hereunder) and other persons as the Personal Representatives may deem advisable and to pay them such reasonable compensation as the Personal Representatives may deem proper from time to time without prior Court approval;

(7) To make any division or distribution in kind or partly in kind and partly in money, and to determine the value of any property so allotted, divided or distributed; to allot specific securities, other property or undivided interests therein to any beneficiary or share of my estate, notwithstanding that the property so allotted may differ in kind from property allotted to any other beneficiary or share of my estate;

(8) To open and maintain one or more accounts for the deposit of funds in any bank or trust company (including any corporate fiduciary hereunder) or with any other financial institution (including any brokerage firm or other company maintaining "money market," cash management or other similar accounts), and to deposit to the credit of such account or accounts all of the funds belonging to my estate which may at the time be in the possession of the Personal Representatives; from time to time to withdraw a portion or all of said funds so deposited by check or draft signed by the Personal Representatives, and any such bank, trust company or financial institution is hereby authorized to pay such checks or drafts and also to receive the same for deposit, to the credit of any holder thereof who so signed or endorsed; to delegate to any one or more proper agents of the Personal Representatives the right to sign checks or drafts against the aforementioned account or accounts for the purposes of the estate, and any bank, trust company or financial institution in which said account or accounts are maintained is hereby authorized and directed to pay such checks or drafts, provided, however, that prior thereto such delegation is evidenced by an appropriate instrument in writing deposited with the said bank, trust company or financial institution by the Personal Representatives;

(9) To make, execute and deliver deeds, leases, mortgages, conveyances, options, receipts, releases, satisfactions and other quitclaims or disclaimers of liability, contracts, voting trusts, stock purchase agreements, buy-sell agreements,



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stock redemption agreements, or other instruments, sealed or unsealed, to any person or corporation with respect to the property of my estate, or with reference to any matter involved in the administration thereof, or for the accomplishment of any of the powers vested in the Personal Representatives, all of the foregoing upon such terms, provisions and conditions existing within or beyond the duration of the administration of my estate as to the Personal Representatives shall seem reasonable; to create reserves for depreciation, depletion or such other purposes to the extent the Personal Representatives shall deem necessary or desirable; and

(10) In general, to exercise all powers in the management of my estate which any individual could exercise in the management of similar property owned in his own right, upon such terms and conditions as to the Personal Representatives may seem best, and to execute and deliver all instruments and to do all acts which the Personal Representatives may deem necessary or advisable in connection with the administration of my estate.

ITEM VIII

MISCELLANEOUS

The following provisions shall govern for all purposes of this Will, wherever they may be applicable:

(a) If any beneficiary of my estate shall be under any legal disability, or in the sole judgment of the Personal Representatives shall otherwise be unable to apply the proceeds of his or her share of my estate to his or her own best interests and advantage, then except as otherwise specifically provided herein, the Personal Representatives may pay or apply income or principal authorized or directed to be paid to or for the benefit of such beneficiary in any one or more of the following ways:

- (1) directly to such beneficiary;
- (2) to the guardian of such beneficiary, or to a custodian under the Uniform Transfers (or Gifts) to Minors Act in effect in the state of such beneficiary's residence, for the use and benefit of such beneficiary;
- (3) to a relative of such beneficiary, to be expended by such relative for the benefit of such beneficiary; or



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(4) by the Personal Representatives expending such income or principal for the benefit of such beneficiary.

(b) Upon making any payment or transfer hereunder, the Personal Representatives shall be discharged as to such payment or transfer, without liability for the subsequent application thereof.

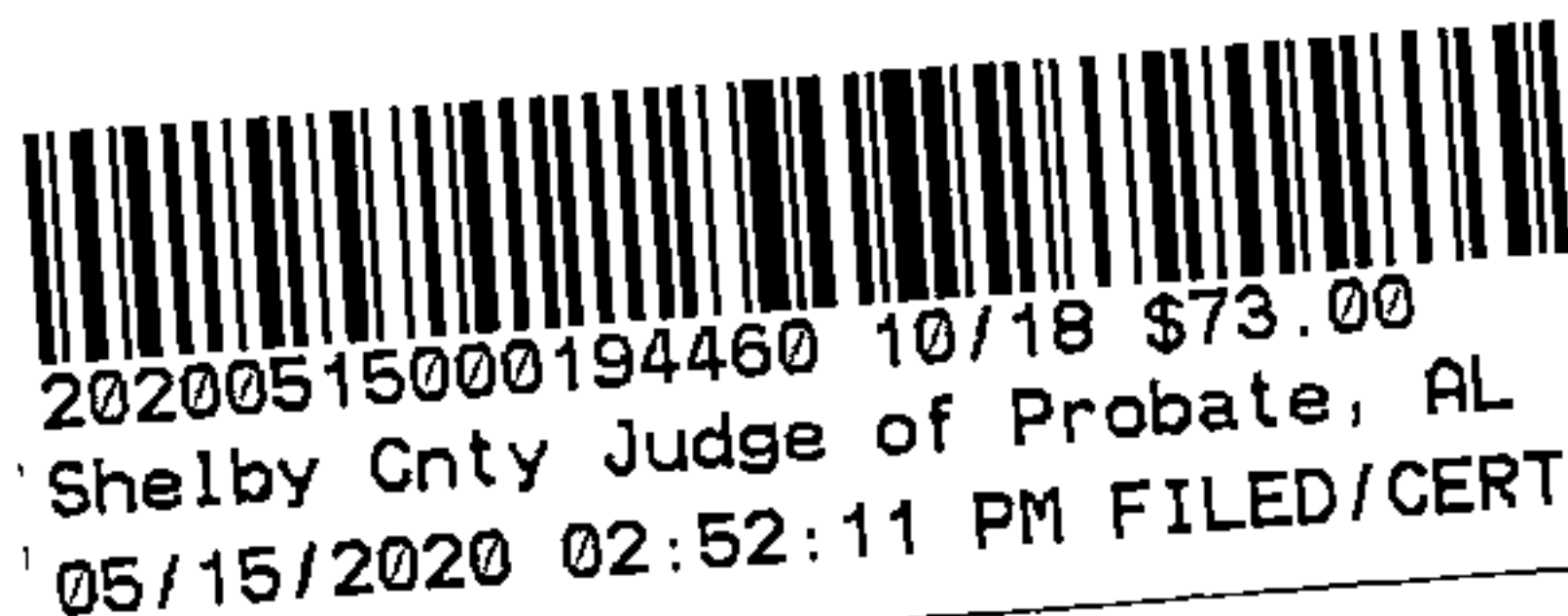
(c) Throughout this Will, the masculine gender shall be deemed to include the feminine and vice-versa, and both shall be deemed to include the neuter, and vice-versa; and the singular shall be deemed to include the plural, and vice-versa, whenever the context admits such construction.

(d) In this Will, references to my "children" mean ROBERT L SHIELDS, III and JOHN MICHAEL SHIELDS, ^{SA. PS DIS} both of whom are adults.

(e) In this Will, references to "descendants" mean descendants, whether born in wedlock or out-of-wedlock, of the designated ancestor, provided always, however, that legal adoption before the person adopted reached the age of twenty-one (21) years shall be the equivalent in all respects to blood relationship.

(f) In this Will, references to the "Code" mean the Internal Revenue Code of 1986, as amended from time to time.

(g) For purposes of this Will, a person shall be considered "incapacitated" if (1) such person is under a legal disability under the laws of such person's domicile; (2) a court has determined that the person is unable to manage property and business affairs effectively for such reasons as mental illness, mental deficiency, physical illness or disability, physical or mental infirmities accompanying advanced age, chronic use of drugs, chronic intoxication, confinement, detention by a foreign power, or disappearance; (3) such person has been certified in writing to



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be unable to manage his or her financial affairs by the principal physician attending to such person's care and by one additional physician who is qualified to make such a determination; or (4) such person's whereabouts are unknown and at least one current beneficiary under the Will has not been able to locate him or her for at least ninety (90) days.

(h) All headings and captions contained in this Will have been included for convenience of reference only, shall not be construed as part of this Will and shall in no way be construed as defining, limiting or affecting the scope or intent of the provisions of this Will.

IN WITNESS WHEREOF, I, the said PATRICIA M. SHIELDS, do hereunto set my hand and seal to this, my Last Will and Testament (containing in all nine [9] pages including the attestation clause) on March 9, 2017; and I also affix my initials on the margin of each of the pages hereof.

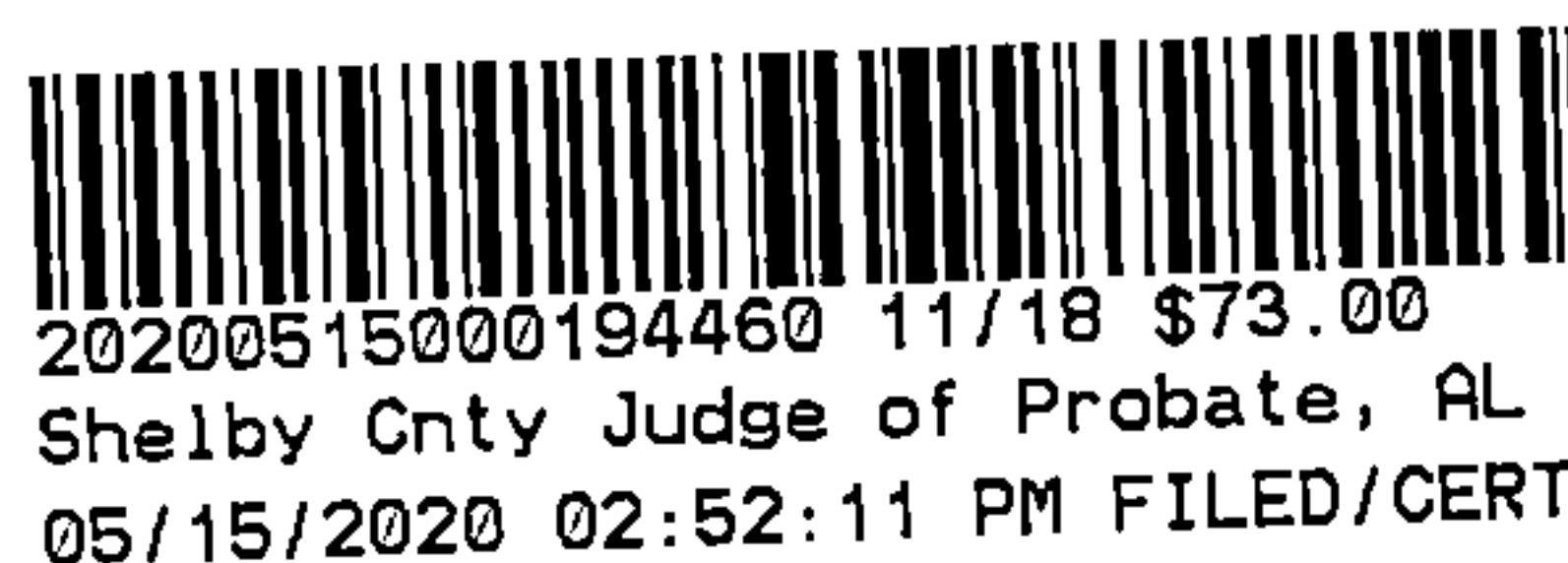
Patricia M. Shields (SEAL)
PATRICIA M. SHIELDS

SIGNED, SEALED, PUBLISHED AND DECLARED by the said PATRICIA M. SHIELDS as and for her Last Will and Testament in our presence and we, in her presence, and at her request and in the presence of each other, hereto subscribe our names as witnesses on the date and year above written.

Christina L. Sanseil
420 N. 20th Street, Suite 1400
Birmingham, Alabama 35203

[Signature]
420 N. 20th Street, Suite 1400
Birmingham, Alabama 35203

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I, PATRICIA M. SHIELDS, the testatrix, sign my name to this instrument on March 9, 2017, and being first duly sworn, do hereby declare to the undersigned authority that I sign and execute this instrument as my last will and that I sign it willingly, that I execute it as my free and voluntary act for the purposes therein expressed, and that I am eighteen years of age or older, of sound mind, and under no constraint or undue influence.

Patricia M. Shields
PATRICIA M. SHIELDS

We, Anne Mitchell and Christina L. Stansell the witnesses, sign our names to this instrument, being first duly sworn, and do hereby declare to the undersigned authority that the testatrix signs and executes this instrument as her last will and that she signs it willingly, and that each of us, in the presence and hearing of the testatrix, hereby signs this will as witness to the testatrix' signing, and that to the best of our knowledge the testatrix is eighteen years of age or older, of sound mind, and under no constraint or undue influence.

Christina L. Stansell
Witness

Anne Mitchell
Witness

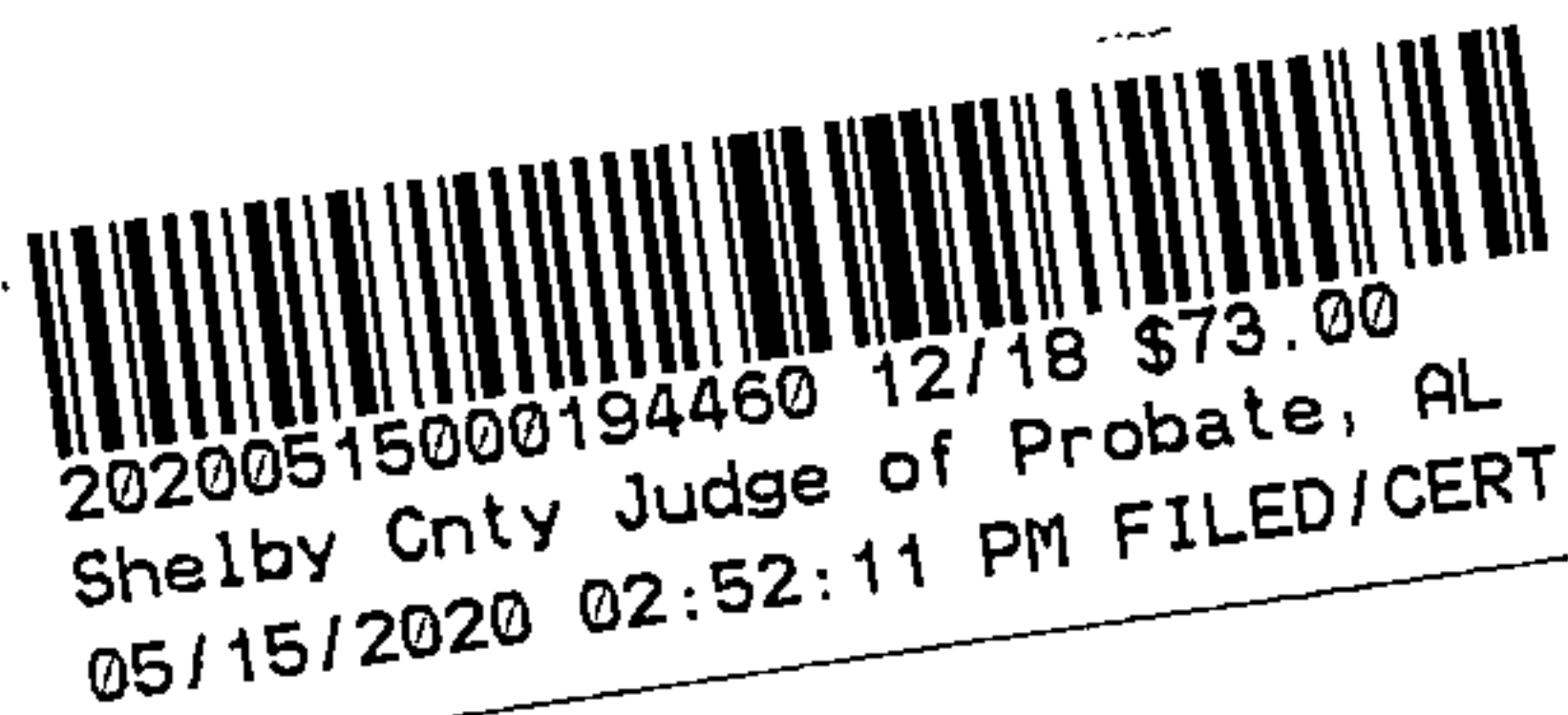
STATE OF ALABAMA

COUNTY OF JEFFERSON

Subscribed, sworn to and acknowledged before me by PATRICIA M. SHIELDS, the testatrix, and subscribed and sworn to before me by Christina L. Stansell and Anne Mitchell on March 9, 2017.



[Signature]
Notary Public
My Commission Expires: 10.21.2019



THE MATTER OF THE ESTATE OF)

PROBATE COURT OF

PATRICIA MERLE SHIELDS)

JEFFERSON COUNTY, ALABAMA

aka PATRICIA M. SHIELDS)

Deceased.)

Case No. 2019 BHM 19B HM 02000

PETITION FOR LETTERS TESTAMENTARY

TO THE HONORABLE ALAN. KING, JUDGE OF PROBATE OF JEFFERSON COUNTY, ALABAMA:

Comes your Petitioners, **ROBERT L. SHIELDS III** and **JOHN MICHAEL SHIELDS SR.**, and upon information and belief, respectfully show unto your Honor the following facts:

1. In the Last Will and Testament of **PATRICIA MERLE SHIELDS**, deceased, dated March 9, 2017, which Will has been or shall be duly probated and admitted to record in this Court, Petitioners are named as Personal Representatives thereof.

2. The Petitioners are inhabitants of the State of Alabama and California, respectively, above the age of nineteen (19) years, and in no respect disqualified under the law from serving as such Personal Representatives. Under the terms of decedent's Will, the Personal Representatives are exempt from giving any bond as such Personal Representatives.

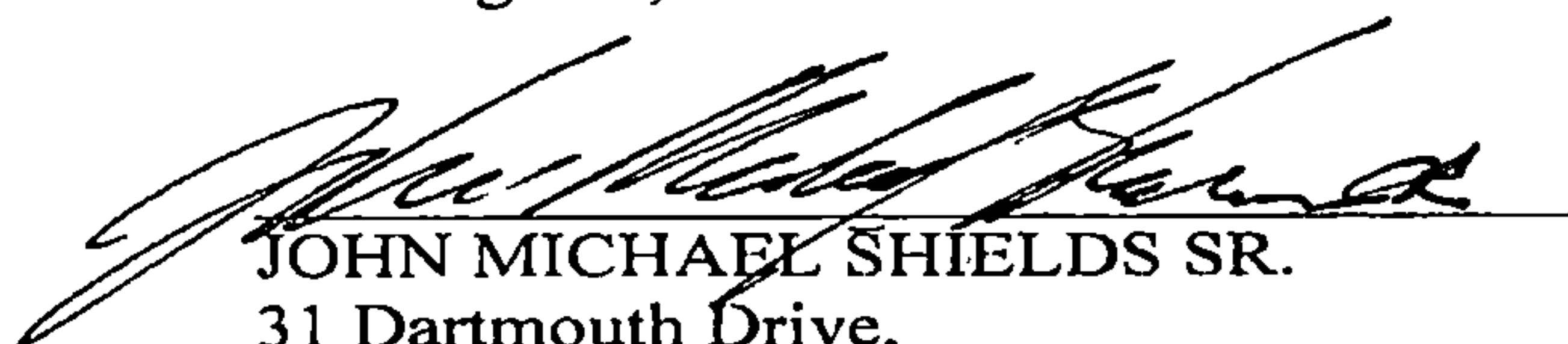
WHEREFORE, to the end that the properties constituting said estate may be collected and preserved for those who appear to have a legal interest therein, and that said Will may be executed according to the requests and directions of said decedent, your Petitioners pray that your Honor will take judicial notice of the probate of the Will of the decedent, and will grant letters testamentary to Petitioners in this matter without entering into bond, as is provided by the terms of said Will and authorized by Ala. Code §43-2-851. This Petition does not require verification under the applicable statutes.

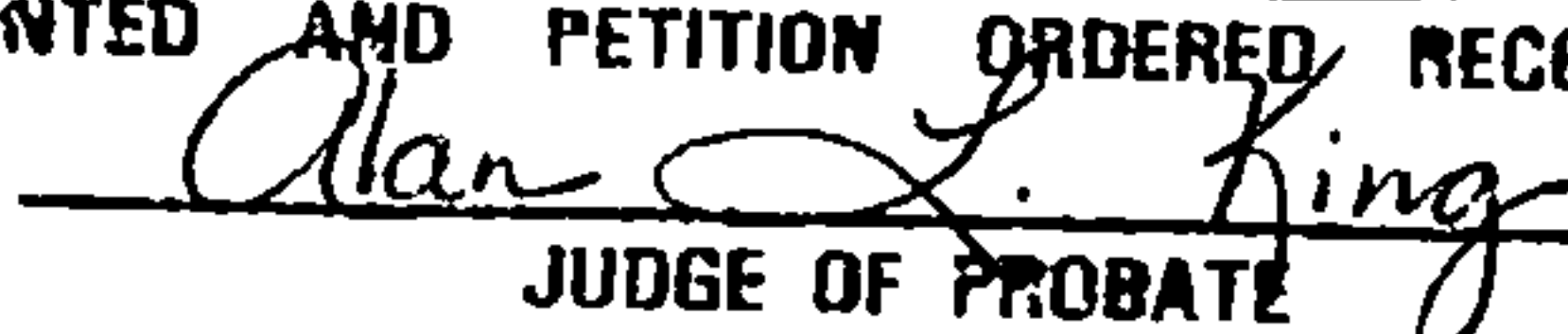
PETITIONERS:

Attorney for Petitioners:)

Andrew J. Potts, Esq.)
Law Offices of Andrew J. Potts)
P.O Box 43583)
Birmingham, Alabama 35243)
Telephone: (205) 821-5733)


ROBERT L. SHIELDS III
3468 Birchwood Lane
Birmingham, AL 35243


JOHN MICHAEL SHIELDS SR.
31 Dartmouth Drive,
Rancho Mirage, CA 92270

FILED IN OFFICE THIS 9th DAY OF
September, 2019, PRAYER
GRANTED AND PETITION ORDERED RECORDED

JUDGE OF PROBATE


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LETTERS TESTAMENTARY

IN THE MATTER OF THE ESTATE OF:
PATRICIA M. SHIELDS, A/K/A
PATRICIA MERLE SHIELDS,
Deceased

IN THE PROBATE COURT OF
JEFFERSON COUNTY, ALABAMA
CASE NO. 19BHM02000

LETTERS TESTAMENTARY

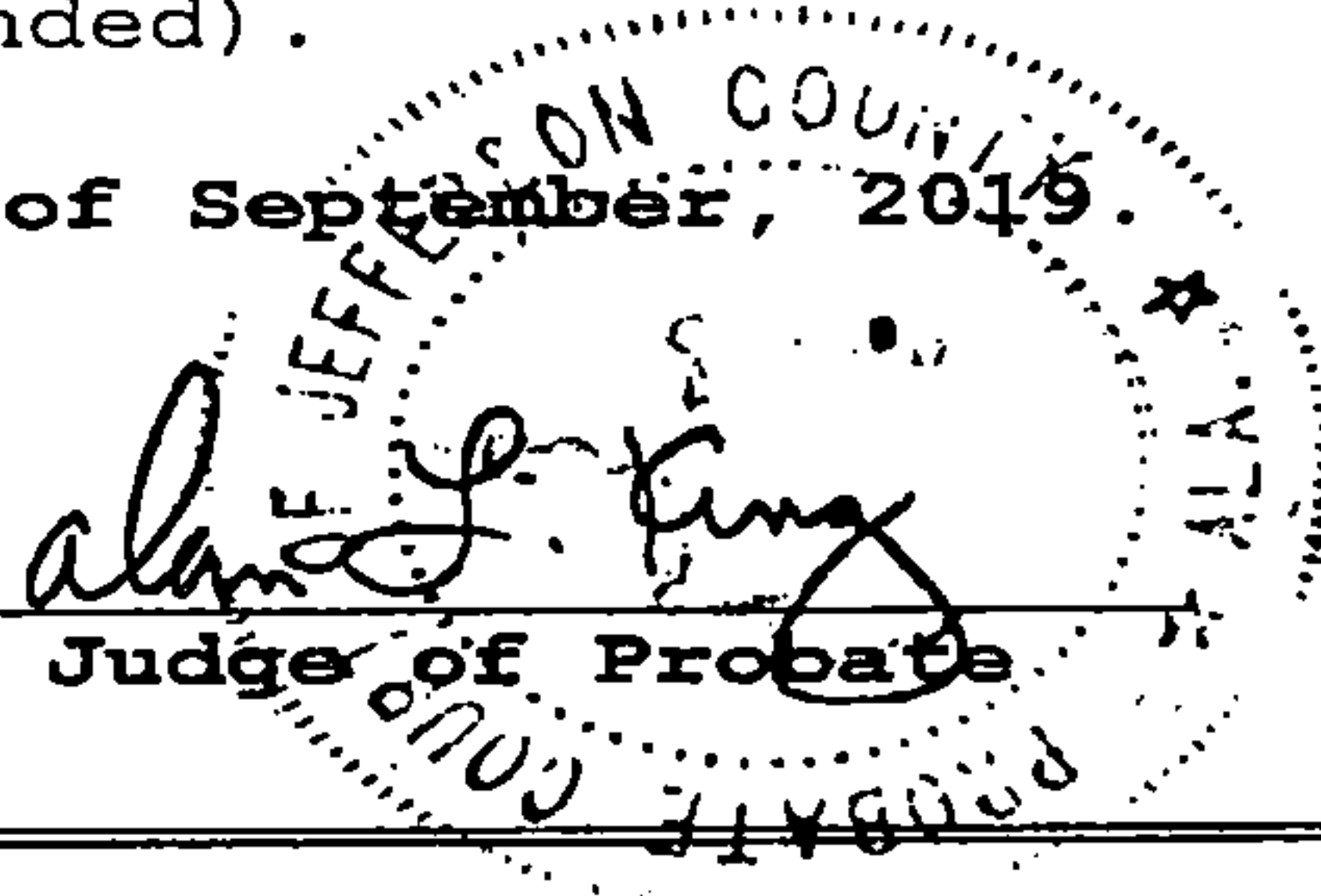
The Will of the above-named deceased having been duly admitted to record in said county, **Letters Testamentary** are hereby granted to **ROBERT L. SHIELDS, III & JOHN MICHAEL SHIELDS, SR.,** the Personal Representative named in said will, who has complied with the requisitions of the law and is authorized to administer the estate. Subject to the priorities stated in §43-8-76, Code of Alabama (1975, as amended), the said Personal Representative, acting prudently for the benefit of interested persons, has all the powers authorized in transactions under §43-2-843, Code of Alabama (1975, as Amended).

WITNESS my hand this date, 9th day of September, 2019.

(SEAL)

Alan L. King

Judge of Probate



I, Alan L. King, Judge of Probate Court of Jefferson County, Alabama, hereby certify that the foregoing is a true, correct and full copy of the **Letters Testamentary** issued in the above styled cause as appears of record in said Court. I further certify that said Letters are still in full force and effect.

WITNESS my hand and seal of said Court this date, _____.

Judge of Probate



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THE ESTATE OF:

**PATRICIA MERLE SHIELDS AKA
PATRICIA M. SHIELDS
DECEASED**

CASE NUMBER 19BHM02000

ORDER GRANTING LETTERS TESTAMENTARY WITHOUT BOND

Now on this day comes Robert L. Shields, III and John Michael Shields, Sr., and files in this Court their petition in writing, under oath, praying that Letters Testamentary upon the Will of Patricia Merle Shields aka Patricia M. Shields, deceased, be issued to them.

It is therefore **ORDERED** and **DECREED** by the Court that Letters Testamentary upon said will be granted to Robert L. Shields, III and John Michael Shields, Sr., and that said letters issue without bond or security being required, in accordance with the terms of said will. It is further **ORDERED** that the petition in this behalf be recorded.

DONE this date, September 9, 2019.

Alan L. King
Judge of Probate

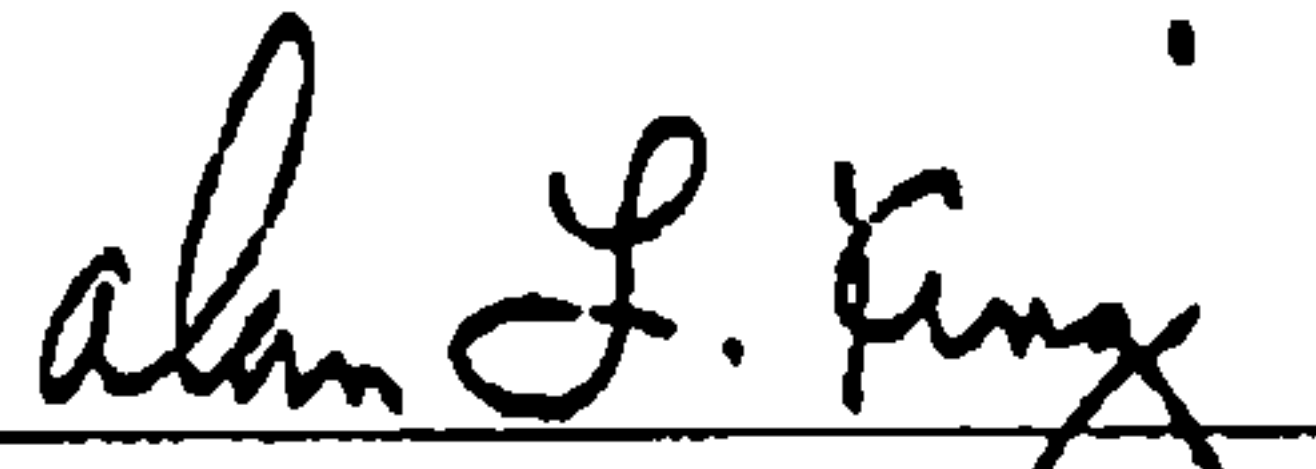


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court is satisfied and is of the opinion that said instrument is the genuine Last Will and Testament of said deceased, and that such instrument should be probated as the Last Will and Testament of said deceased. It is, therefore,

ORDERED, ADJUDGED AND DECREED by the Court that said instrument be duly admitted to probate as the Last Will and Testament of Patricia Merle Shields aka Patricia M. Shields, deceased, and **ORDERED** to be recorded together with the proof thereof and all other papers on file relating to this proceeding. It is further **ORDERED** that petitioner pay the costs of this proceeding.

DONE this date, September 9, 2019.



Judge of Probate



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CERTIFICATE TO COPIES

STATE OF ALABAMA
JEFFERSON COUNTY

PROBATE COURT
CASE NO. 19BHM02000

I, **PAMELA T. JONES**, Chief Clerk of Probate Court, in and for said County in said State hereby certify that the foregoing contains a full, true and correct copy of the, **PETITION FOR PROBATE OF WILL, LAST WILL AND TESTAMENT OF PATRICIA M. SHIELDS, PETITION FOR LETTERS TESTAMENTARY, LETTERS TESTAMENTARY, ORDER GRANTING LETTERS TESTAMENTARY WITHOUT BOND AND ORDER ON FILING AND PROBATING LAST WILL AND TESTAMENT**, in the matter of the estate of **PATRICIA MERLE SHIELDS AKA PATRICIA M. SHIELDS, DECEASED** as the same appears on file and of record, in this office.

Given under my hand and seal of said Court this date,
May 14, 2020.



Chief Clerk of Probate



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