

☐ The Debtor is a transmitting utility as defined in ALA CODE 7-9-105(n).

No. of Additional Sheets Presented: 1

This FINANCING STATEMENT is presented to a Filing Officer for filing pursuant to the Uniform Commercial Code.

1. Return copy or recorded original to:

The Peoples Bank & Trust Co.
4949 Highway 17
Helena, Al, 35080

Pre-paid Acct. # _____

2. Name and Address of Debtor

(Last Name First if a Person)

M JOHN HEBERT
1429 TIMBER CIRCLE
HELENA, AL 35080

Social Security/Tax ID# _____

2A. Name and Address of Debtor

(IF ANY)

(Last Name First if a Person)

Social Security/Tax ID# _____

☐ Additional debtors on attached UCC-E

3. Name and Address of Secured Party

The Peoples Bank & Trust Co.
4949 Highway 17
Helena, Al, 35080

Social Security/Tax ID# _____

☐ Additional secured parties on attached UCC-E

5. The Financing Statement Covers the Following Types (or items) of Property:

STOCKS HELD IN PRIMEVEST FINANCIAL SERVICES ACCOUNT #
#38267141, INCLUDING THOSE LISTED ON ATTACHED EXHIBIT 'A'.

THIS SPACE FOR USE OF FILING OFFICER
Date, Time, Number & Filing Officer

Inst # 2001-00414

01/04/2001-00414
01:17 PM CERTIFIED
SHELBY COUNTY JUDGE OF PROBATE
71.50
002 CJ1

FILED WITH:

4. Name and Address of Assignee of Secured Party

(IF ANY)

5A. Enter Code(s) From Back of Form That Best Describes The Collateral Covered By This Filing:

902

Check X if covered: ☒ Products of Collateral are also covered.

6. This statement is filed without the debtor's signature to perfect a security interest in collateral (check X, if so)

- ☐ already subject to a security interest in another jurisdiction when it was brought into this state.
☐ already subject to a security interest in another jurisdiction when debtor's location changed to this state.
☐ which is proceeds of the original collateral described above in which a security interest is perfected.
☐ acquired after a change of name, identity or corporate structure of debtor.
☐ as to which the filing has lapsed.

7. Complete only when filing with the Judge of Probate:

The initial indebtedness secured by this financing statement is \$ 35050.00

Mortgage tax due (15¢ per \$100.00 or fraction thereof) \$ 71.50

8. ☐ This financing statement covers timber to be cut, crops, or fixtures and is to be cross indexed in the real estate mortgage records (Describe real estate and if debtor does not have an interest of record, give name of record owner in Box 5).

Signature(s) of Secured Party(ies)

(Required only if filed without debtor's Signature - see Box 6)

Signature(s) of Debtor(s)

Signature(s) of Debtor(s)

Type Name of Individual or Business

Signature(s) of Secured Party(ies) or Assignee

Signature(s) of Secured Party(ies) or Assignee

Type Name of Individual or Business

(1) FILING OFFICER COPY - ALPHABETICAL
(2) FILING OFFICER COPY - NUMERICAL

(3) FILING OFFICER COPY - ACKNOWLEDGEMENT
(4) FILE COPY - SECURED PARTY(IES)

(5) FILE COPY - DEBTOR(S)

STANDARD FORM - UNIFORM COMMERCIAL CODE - FORM UCC-1

EXHIBIT "A"

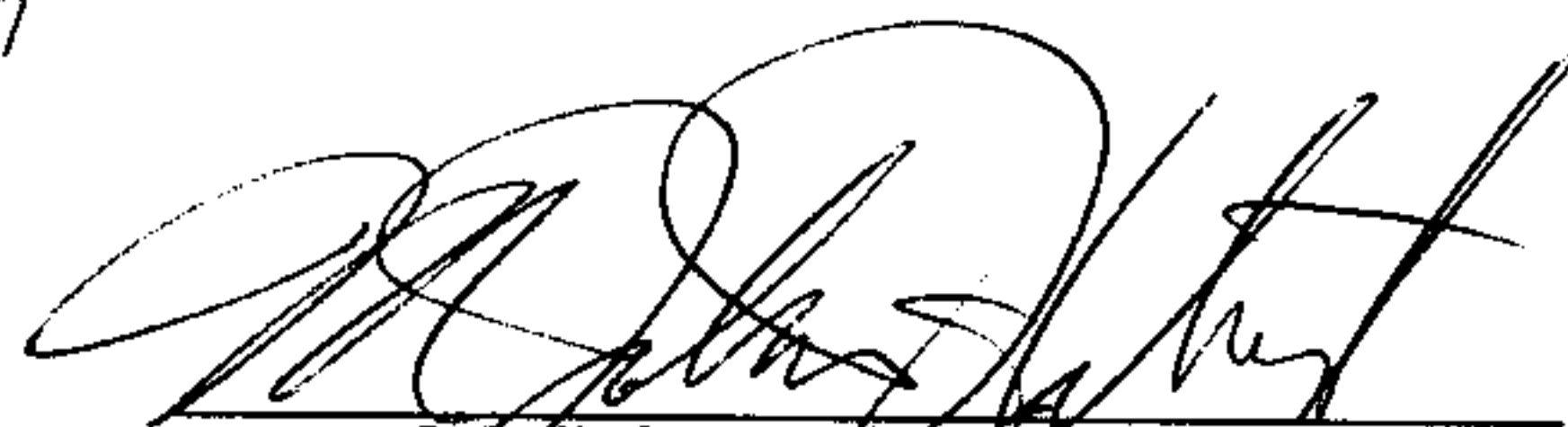
List of securities offered to Peoples Bank & Trust Company as collateral on

loan number 4600085811

STOCKS

SHARES

| | |
|-----------------------|-----|
| Bellsouth Corporation | 124 |
| Lucent Technologies | 53 |
| NCR Corp | 10 |
| SBC Communications | 112 |
| AT&T Corp | 269 |
| Verizon Wireless | 25 |
| Ameritech | 38 |
| Vodafone | 60 |
| Bell Atlantic | 25 |
| Avaya | 17 |



M. John Hebert

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