

STATE OF ALABAMA — UNIFORM COMMERCIAL CODE — FINANCING STATEMENT FORM UCC-1 ALA.

Important: Read Instructions on Back Before Filling out Form.

☐ The Debtor is a transferee of a security interest as defined in ALA CODE 7-9-105(n).	No. of Additional Sheets Presented: <u>2</u>	This FINANCING STATEMENT is presented to a Filing Officer for filing pursuant to the Uniform Commercial Code.
1. Return copy or recorded original to: Bruce L. Gordon, Esquire Gordon, Silberman, Wiggins & Childs, P.C. 1400 SouthTrust Tower Birmingham, Al 35203		Inst # 1999-52171 12/29/1999-52171 08:10 AM CERTIFIED SHELBY COUNTY JUDGE OF PROBATE 003 HNS 10.00
2. Name and Address of Debtor (Last Name First if a Person) Retirement Specialists of the South, Inc. d/b/a Sun America Securities 2944 Pelham Parkway Pelham, Alabama 35124		
Social Security/Tax ID #: _____		
2A. Name and Address of Debtor (IF ANY) (Last Name First if a Person) Social Security/Tax ID #: _____		
☐ Additional debtors on attached UCC-E		
3. SECURED PARTY (Last Name First if a Person) Newcourt Small Business Lending 2 Gathall Drive Parsippany, NJ 07054		
Social Security/Tax ID #: _____		
☐ Additional secured parties on attached UCC-E		
4. ASSIGNEE OF SECURED PARTY (IF ANY) (Last Name First if a Person)		

The Financing Statement Covers the Following Types (or items) of Property:

See attached Schedule I

THIS UCC-1 IS FILED AS ADDITIONAL SECURITY FOR AN INDEBTEDNESS SECURED BY A MORTGAGE RECORDED SIMULTANEOUSLY HEREWITH

5A. Enter Code(s) From Back of Form That Best Describes The Collateral Covered By This Filing:

0 0 1	---
1 0 0	---
2 0 0	---
3 0 0	---
5 0 0	---
7 0 0	---

Check X if covered: ☐ Products of Collateral are also covered.

This statement is filed without the debtor's signature to perfect a security interest in collateral (check X, if so)

already subject to a security interest in another jurisdiction when it was brought into this state, already subject to a security interest in another jurisdiction when debtor's location changed to this state.

which is proceeds of the original collateral described above in which a security interest is perfected.

acquired after a change of name, identity or corporate structure of debtor

as to which the filing has lapsed.

Retirement Specialists of the South, Inc., d/b/a Sun America Securities

Signature(s) of Debtor(s) **Donald Joseph Johnson**

Signature(s) of Debtor(s)
Retirement Specialists of the South, Inc.

Type Name of Individual or Business

FILING OFFICER COPY — ALPHABETICAL
 FILING OFFICER COPY — NUMERICAL

(3) FILING OFFICER COPY — ACKNOWLEDGEMENT
 (4) FILE COPY — SECOND PARTY(S)

(5) FILE COPY DEBTOR(S)

Type Name of Individual or Business

Signature(s) of Secured Party(ies) or Assignee

Signature(s) of Secured Party(ies) or Assignee

Signature(s) of Secured Party(ies) or Assignee

STANDARD FORM — UNIFORM COMMERCIAL CODE — FORM UCC-1
 Approved by The Secretary of State of Alabama

SCHEDULE I

A. All equipment and machinery, including power-driven machinery and equipment, furniture and fixtures now owned or hereafter acquired, together with all replacements thereof, all attachments, accessories, parts and tools belonging thereto or for use in connection therewith, including but not limited to those listed on the attached Exhibit A and/or used in connection with the business conducted by the Debtor at 2944 Pelham Parkway, Pelham, Alabama, 35124, a legal description of which is set out below.

Parcel A

A parcel of land situated in the South 1/2 of the NW 1/4 of the NW 1/4 of Section 13, Township 20 South, Range 3 West, Huntsville Meridian, Shelby County, Alabama, and being more particularly described as follows:

Commence at the NE corner of the S 1/2 of said 1/4 1/4 Section; thence run Westerly along the North line of said South 1/2 a distance of 161.70 feet to a point on the West right of way of U. S. Highway No. 31 and the point of beginning; thence turn left 63 deg. 03 min. 59 sec. and run Southwest along said right of way 162.00 feet; thence turn right 76 deg. 13 min. 22 sec. a distance of 101.62 feet; thence turn right 102 deg. 53 min. 33 sec. a distance of 135.01 feet; thence turn right 63 deg. 57 min. 04 sec. a distance of 113.04 feet to the point of beginning; being situated in Shelby County, Alabama.

Parcel B

A parcel of land located in the South 1/2 of the NW 1/4 of the NW 1/4 of Section 13, Township 20 South, Range 3 West, Shelby County, Alabama, described as follows: Commence at the NE corner of the South 1/2 of said 1/4-1/4; thence run west along the North line of said South 1/2 a distance of 161.70 feet to a point on the West right of way of U.S. Highway #31; thence continue last course 113.04 feet to the point of beginning; thence continue last course 149.96 feet; thence turn left 89 deg. 44 min. 20 sec. a distance of 100.00 feet; thence turn left 77 deg. 06 min. 17 sec. a distance of 93.58 feet; thence turn left 77 deg. 06 min. 27 sec. a distance of 135.00 feet to the point of beginning; being situated in Shelby County, Alabama.

B. All inventory, raw materials, work in process and supplies now owned or hereinafter acquired.

C. All accounts receivable now outstanding or hereafter arising.

D. All contract rights and general intangibles now in force or hereafter acquired.



EXHIBIT A

Retirement Specialists of the South, Inc. - Equipment

COMPUTERS

Gateway2000 Solo Notebook Computer	20301947
Dell Dimensions Computer	5322DE3W7X59
Pentium III 333 Computer	8G82906191

PRINTERS AND OTHER EQUIPMENT

Xerox color printer c55	(Product Identifier = STA-1 and FCC ID: LD7XTA-1
Xerox laser printer n32	(Product Identifier = XYA-1 and FCC ID: D05P4P

DESKS AND CHAIRS

One (1) Executive Desk, Credenza and Chair.
One (1) "L" Shape Desk and Chair
One (1) Small Desk and chair
One (1) Conference Table and 4 Chairs
One (1) Blue armchairs 2304WVV (DHVEO - 012189)

FILE CABINETS

4 drawer upright	HON lock #150R
2 drawer upright	HON lock #207R
2 drawer upright	HON lock #136R
2 drawer upright	HON lock #145R
2 drawer upright	HON lock #129R

Inst # 1999-52171

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SHELBY COUNTY JUDGE OF PROBATE
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