

1. Return copy or recorded original to:

Riverchase Branch  
First National Bank of Columbiana  
101 Riverchase Parkway East  
Birmingham, Al 35244

Pre-paid Acct. #

2. Name and Address of Debtor

(Last Name First if a Person)

Rooks Excavating Service, Inc.  
91 Hodgens Rd  
Chelsea, Al 35043

Social Security/Tax ID #

2A. Name and Address of Debtor

(IF ANY)

(Last Name First if a Person)

Doris Jean Rooks  
91 Hodgens Rd  
Chelsea, Al 35043

Social Security/Tax ID #

☐ Additional debtors on attached UCC-E

3. SECURED PARTY (Last Name First if a Person)

First National Bank of Columbiana/ RCB  
101 Riverchase Parkway East  
Birmingham, Al 35244

Social Security/Tax ID #

☐ Additional secured parties on attached UCC-E

THIS SPACE FOR USE OF FILING OFFICER

Date, Time, Number & Filing Office

JUDGE OF PROBATE

92 FEB -6 PM 3:01

STATE OF ALABAMA  
I CERTIFY THIS  
FINANCING STATEMENT WAS FILED

030485

5. The Financing Statement Covers the Following Types (or items) of Property:

1986 943 Caterpillor Track Loader Serial # 19Z685; all additions and accessions thereto and proceeds thereof.

The inclusion of proceeds in this Financing Statement does not authorize Debtor to sell or dispose of the collateral unless specifically authorized by the Secured Party.

5A. Enter Code(s) From Back of Form That Best Describes The Collateral Covered By This Filing:

3 0 3

Check X if covered: ☒ Products of Collateral are also covered.

6. This statement is filed without the debtor's signature to perfect a security interest in collateral (check X, if so)

- ☐ already subject to a security interest in another jurisdiction when it was brought into this state.  
☐ already subject to a security interest in another jurisdiction when debtor's location changed to this state.  
☐ which is proceeds of the original collateral described above in which a security interest is perfected.  
☐ acquired after a change of name, identity or corporate structure of debtor  
☐ as to which the filing has lapsed.

7. Complete only when filing with the Judge of Probate:

The initial indebtedness secured by this financing statement is \$ ~~10,000.00~~ 15.00 + 14.00 = 29.00

Mortgage tax due (15¢ per \$100.00 or fraction thereof) \$ ~~15.00~~ 29.99

8. ☐ This financing statement covers timber to be cut, crops, of fixtures and is to be cross indexed in the real estate mortgage records (Describe real estate and if debtor does not have an interest of record, give name of record owner in Box 5)

Signature(s) of Secured Party(ies)

(Required only if filed without debtor's Signature — see Box 6)

Signature(s) of Debtor(s)

Signature(s) of Debtor(s)

Rooks Excavating Service Inc.

Type Name of Individual or Business

Signature(s) of Secured Party(ies) or Assignee

Signature(s) of Secured Party(ies) or Assignee

First National Bank of Columbiana

Type Name of Individual or Business

(1) FILING OFFICER COPY ALPHABETICAL

STANDARD FORM — UNIFORM COMMERCIAL CODE — FORM UCC-1  
Approved by The Secretary of State of Alabama