

1 Return copy or recorded original to:

**First Commercial Bank**  
**800 Shades Creek Parkway**  
**Birmingham, Alabama 35209**

Pre-paid Acct. #

2 Name and Address of Debtor

(Last Name First if a Person)

**Cates, Edward L.**  
**Lot 2, Ridge Road**  
**Shelby, Alabama 35143**

Social Security/Tax ID #

2A. Name and Address of Debtor

(IF ANY)

(Last Name First if a Person)

**Cates, Katy A.**  
**Lot 2, Ridge Road**  
**Shelby, Alabama 35143**

Social Security/Tax ID #

☐ Additional debtors on attached UCC-E

3. NAME AND ADDRESS OF SECURED PARTY (Last Name First if a Person)

**First Commercial Bank**  
**800 Shades Creek Parkway**  
**Birmingham, Alabama 35209**

Social Security/Tax ID #

☐ Additional secured parties on attached UCC-E

5. The Financing Statement Covers the Following Types (or items) of Property:

The items and types of property described on the Schedule attached hereto which, together with the Exhibit or Exhibits thereto, are incorporated herein by reference.

**DEBTOR IS RECORD OWNER OF REAL ESTATE**  
**CROSS REFERENCE IN REAL ESTATE MORTGAGE RECORDS**  
**Additional security for mortgage filed simultaneously herewith.**

5A Enter Code(s) From  
 Back of Form That  
 Best Describes The  
 Collateral Covered  
 By This Filing

Check X if covered: ☐ Products of Collateral are also covered.

6. This statement is filed without the debtor's signature to perfect a security interest in collateral (check X, if so)

- ☐ already subject to a security interest in another jurisdiction when it was brought into this state  
☐ already subject to a security interest in another jurisdiction when debtor's location changed to this state  
☐ which is proceeds of the original collateral described above in which a security interest is perfected  
☐ acquired after a change of name, identity or corporate structure of debtor  
☐ as to which the filing has lapsed.

Signature(s) of Debtor(s)

Edward L. Cates

Signature(s) of Debtor(s)

Katy A. Cates

Type Name of Individual or Business

7. Complete only when filing with the Judge of Probate

The initial indebtedness secured by this financing statement is \$ **150,000.00**Mortgage tax due (15¢ per \$100.00 or fraction thereof) \$ **225.00**

8. ☐ This financing statement covers timber to be cut, crops, or fixtures and is to be cross indexed in the real estate mortgage records (Describe real estate and if debtor does not have an interest of record, give name of record owner in Box 5)

Signature(s) of Secured Party(ies)  
 (Required only if filed without debtor's Signature — see Box 6)

Signature(s) of Secured Party(ies) or Assignee

Signature(s) of Secured Party(ies) or Assignee

**First Commercial Bank**  
 Type Name of Individual or Business

(1) FILING OFFICER COPY - ALPHABETICAL  
 (2) FILING OFFICER COPY - NUMERICAL

(3) FILING OFFICER COPY - ACKNOWLEDGEMENT  
 (4) FILE COPY - SECURED

(5) FILE COPY DEBTOR(S)

STANDARD FORM — UNIFORM COMMERCIAL CODE — FORM UCC-1  
 Approved by The Secretary of State of Alabama

Inst # 1999-52246

12/29/1999-52246  
 10:25 AM CERTIFIED  
 SHELBY COUNTY JUDGE OF PROBATE  
 16.00  
 002 HNS

FILED WITH:

4 ASSIGNEE OF SECURED PARTY

(IF ANY)

(Last Name First if a Person)

EXHIBIT "A"

All building materials, household appliances, equipment, fixtures and fittings of every kind or character now owned or hereafter acquired by Debtor, or any of them, located, whether permanently or temporarily, on the hereinbelow described real property, and all building materials, household appliances, equipment, fixtures and fittings now owned or hereafter acquired by Debtor, or any of them, located or stored on any other real property which are or shall be purchased by Debtor, or any of them, for the purpose, or with the intention, of making improvements on the hereinbelow described real property or to the premises located on said property. The personal property herein transferred includes, without limitation, all lumber, bricks, building stones, building blocks, sand, cement, roofing materials, paint, doors, windows, storm doors, storm windows, nails, wires and wiring, hardware, plumbing and plumbing fixtures, heating and air conditioning equipment and appliances, electrical and gas equipment and appliances, pipes and piping, ornamental and decorative fixtures, and in general all building materials, equipment and appliances of every kind and character used or useful in connection with improvements to the following described real estate situated in .

to-wit:

Lot 2, according to the Survey of Wildwood Shores, First Sector, as recorded in Map Book 11, Page 42, in the Probate Office of Shelby County, Alabama.

and more particularly described in the mortgage executed by Debtor to Secured Party simultaneously herewith.

DEBTOR: CATES, EDWARD L.  
CATES, KATY A.

Inst # 1999-52246

12/29/1999-52246  
10:25 AM CERTIFIED  
SHELBY COUNTY JUDGE OF PROBATE  
002 MMS 16.00